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KENTUCKY LEGISLATIVE ETHICS COMMISSION

RECEIVED
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STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☐ Check here and attach additional sheets if necessary. _____ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Rep Jim Wayne
Business address Suite 1166 Medical Arts Building, Louisville, Ky. 40217
Business telephone 502-451-8262
Home address 1280 Royal Av. Louisville, Ky. 40204
Title of public position, or office sought State Representative, 35th Dist.
Other occupations of filer President, Wayne Corp.
Occupations of spouse retired

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Wayne Corp., president

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation

retired from Yum! Brands

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more Yum! Brands, NovaGold Resources, Aberdeen Global Income, BEMA gold, Central Europe + Russia Fund, 10YR Treasury Index, U.S. Treasury Securities, Scudder Gold + Precious Metals, US World Precious Minerals, Nations Municipal Reserve, HECLA Mining Co., Newmont Mining Corp., Templeton Dragon Fund, Thai Capital Fund, Alliance Bernstein Capital Reserve, Kindred Healthcare Inc., Motorola Inc., Ventas Inc., John Hancock Regional Bank Class B, John Hancock Financial Industrials, Vanguard Group, PAX World Fund, Fidelity Equity Income Fund, Ky. Employee Profit Act., Republic Bank, Stock Yard Bank, 5/3 Annuity, ShorePlus Credit Union, Wayne Corp. Prudential Life Ins. Co. addresses for all stocks & funds are available with SEC. Henry Co. Farm (Ky)

Sources and form of gross income of the filer (list sources by name) _____

Wayne Corp (Dividends & Salary)

State of Ky (Salary)

Dividends from Investments

Interests " "

Rent " farm house

Farm income

Sources and form of gross income of the filer's spouse (list sources by name) _____

Same as above except for salaries

Positions of a fiduciary nature in a business _____

President, Wayne Corp.

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children _____

Henry Co. Ky farm

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) _____

none

The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) _____

none

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
- ⑤. A business associate of the filer or a business associate of a member of the filer's immediate family

see below

The names of any of the filer's clients who are legislative agents or employers Ky. State District Council of Carpenters, IBEW 369, Catholic Conf of Ky., Home of Innocent, Sheetmetal Workers Union, LG & E, KU. Children's alliance & members, Ky Farm Bureau, Churchill Downs, Greer Louisville, Inc, Ky Hospital Assoc., Ky. Academy of Trial Lawyers, Plumbers Union, Pipefitters Union, Stock Yards Bank, Republic Bank, 7 Counties Sew, Assoc. of Independent Colleges & Universities, Christian Church Home, Greenbaum Dally & McDonald, Distilled Spirits Council, Ky Assn of Electric Coops, Home Health Assoc, Ky Assoc of Healthcare Facilities, DuPont, Ky. Bankers Assoc. State & Harbison, Woodward Holston & Fulton,
If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☒ No ☐ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N.A.

State Agency

N.A.

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1/12/05

Date

Jim Wayne

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.